

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of PAU et al.

Application No.: 10/523,400

Filed: February 3, 2005

For: SPECIFIC ANTIBODIES FOR DIAGNOSING HEART FAILURE

TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith please find:

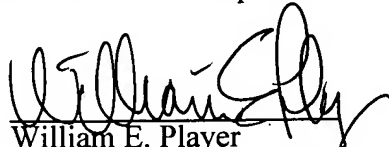
- ☒ Response And Statement Under 37 CFR 1.821(g), including PTO Notice (copy), Sequence Listing, CRF, and Amendment Entering Sequence Listing.
- ☐ Petition for extension of time
- ☐ Fee payment ☐ Payment Form PTO-2038 (credit card) for \$ * is attached.
- ☐ Charge \$ * to Deposit Account No. 06-1358.
- ☐ Small entity status established in connection with the subject application.

Fee Calculation					
Excess Claims					
	Nº of Claims	Highest Nº Paid For	Excess Claims	Small Entity Fee	Large Entity Fee
Total	*	⊖ * =	0	⊗ \$25 = \$	⊗ \$50 = \$
Ind.	*	⊖ * =	0	⊗ \$100 = \$	⊗ \$200 = \$
[] Multiple Dependent Claims (1 st Filing)				⊕ \$180 =	⊕ \$360 = \$
Excess Claims Fee				\$	\$
Extension of Time Fee				\$	\$
Other:				\$	\$
Total Fee Due				\$0	\$0

- ☒ If a petition for extension of time is necessary, but not enclosed, then this acts as the petition. Charge any fees additionally necessary in connection herewith to Deposit Account No. 06-1358.

JACOBSON HOLMAN PLLC
400 Seventh Street, N. W.
Washington, D.C. 20004-2201
Tel. (202) 638-6666
Fax (202) 393-5350
Date: January 29, 2007

By


William E. Player
Registration No. 31,409



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/523,400	Bernard Pau	P70365US0

136
JACOBSON HOLMAN PLLC
400 SEVENTH STREET N.W.
SUITE 600
WASHINGTON, DC 20004

JACOBSON HOLMAN PLLC
Response Due On Or Before
12/27/07
Month Day Year

INTERNATIONAL APPLICATION NO.	
PCT/FR03/02483	
LA FILING DATE	PRIORITY DATE
08/07/2003	08/07/2002

CONFIRMATION NO. 6214

371 FORMALITIES LETTER



OC000000021676762

Date Mailed: 12/27/2006

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 02/03/2005
- English Translation of the IA filed on 02/03/2005
- Copy of the International Search Report filed on 02/03/2005
- Copy of IPE Report filed on 02/03/2005
- Preliminary Amendments filed on 02/03/2005
- Information Disclosure Statements filed on 09/14/2005
- Biochemical Sequence Diskette filed on 12/01/2006
- Oath or Declaration filed on 11/14/2005
- Biochemical Sequence Listing filed on 12/01/2006
- Request for Immediate Examination filed on 02/03/2005
- U.S. Basic National Fees filed on 02/03/2005
- Priority Documents filed on 02/03/2005

Applicant's response filed 12/01/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 10/06/2006 have not been completed.

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR

1.136, but the period for response set in the Notification of Missing Requirements may be extended under

37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- **For Rules Interpretation, call (571) 272-0951**
- **For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patent Software Program Help @ ebc@uspto.gov**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/523,400	PCT/FR03/02483	P70365US0

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For: SPECIFIC ANTIBODIES FOR DIAGNOSING HEART FAILURE

RESPONSE AND STATEMENT UNDER 37 CFR 1.821(g)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the PTO notice, concerning PTO Rules governing a "Sequence Listing," submitted herewith are:

- 1) computer readable form (CRF) of the Sequence Listing;
- 2) paper copy of the Sequence Listing; and
- 3) amendment entering the Sequence Listing.


The content of the CRF and the paper copy are the same and includes no new matter.

Favorable action is requested.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By


William E. Player
Registration No. 31,409

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Washington, D.C. 20004
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Date: January 29, 2007
WEP/id

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